

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/731,690
Filing Date	December 8, 2003
First Named Inventor	Nurulain ZAVERI et al.
Art Unit	1654
Examiner Name	Unassigned
Attorney Docket Number	8500-0269.20

Mail Stop **Amendment****ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> No fee due <input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Fee(s) due <input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Check for \$* <input checked="" type="checkbox"/> Charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & Form PTO-1449 <input checked="" type="checkbox"/> Copies of cited references & International Search Report <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s):	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks:		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name (print/type)	Mark L. Warzel, Registration No. 47,264 Reed JP Law Group	Telephone	(650) 330-0900
Signature		Date	October 6, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Name (print/type)	Joseph W. Clark		
Signature		Date	October 6, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nurulain ZAVERI et al.

Confirmation No.: 3278

Serial No.: 10/731,690

Group Art Unit: 1654

Filing Date: December 8, 2003

Examiner: Unassigned

Title: ANALOGS OF GREEN TEA POLYPHENOLS AS CHEMOTHERAPEUTIC AND  
CHEMOPREVENTIVE AGENTS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 CFR § 1.97(e)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

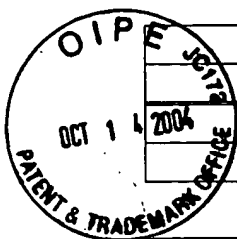
Sir:

This is a Supplemental Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references listed below were cited in an International (PCT) Search Report dated July 6, 2004, for the PCT application corresponding to the above-identified U.S. patent application. A copy of the Search Report, including an indication of the purported relevance of the cited references, is enclosed. Copies of the foreign patent and nonpatent references are also submitted herewith.

A PTO-1449 form listing the references accompanies this paper. Applicants request that the Examiner kindly initial and return to them a copy of this form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENT		
Document No.	Publication Date	Applicants
2002/0151582	10/17/02	Dou et al.



FOREIGN PATENT DOCUMENTS		
Document No.	Publication Date	Country
JP 2001-253879	9/18/01	Japan
WO 99/22728	5/14/99	PCT

NONPATENT DOCUMENTS
Derwent database WPI, AN 2002-307970, XP-002284951, English abstract for JP 2001-253879.
HASHIMOTO et al. (1996), "Evaluation of Tea Polyphenols as Anti-HIV Agents," <i>Bioorganic &amp; Medicinal Chemistry Letters</i> <u>6</u> (6):695-700.
UESATO et al. (2000), "Antitumor Promoting Activities of 3-O-Acyl(-)-Epigallocatechins," <i>Bioorganic &amp; Medicinal Chemistry Letters</i> <u>10</u> (15):1673-1675.

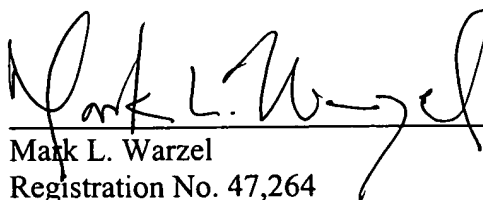
This Supplemental Information Disclosure Statement is not intended as a representation that additional information material to the examination of this application does not exist or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As this Supplemental Information Disclosure Statement is being filed within three months of the date of the International Search Report (i.e, July 6, 2004), no fee is required at this time. If, for any reason, a fee is found to be necessary, our Deposit Account No. 18-0580 may be charged therefor.

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 CFR § 1.97(e)(1)).

Respectfully submitted,

By:

  
Mark L. Warzel  
Registration No. 47,264

Date: October 6, 2004

REED IP LAW GROUP  
800 Menlo Avenue, Suite 210  
Menlo Park, California 94025  
(650) 330-0900 Telephone  
(650) 330-0980 Facsimile

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/731,690
Filing Date	December 8, 2003
First Named Inventor	Nurulain ZAVERI et al.
Art Unit	1654
Examiner Name	Unassigned
Attorney Docket Number	8500-0269.20

## U.S. PATENT DOCUMENT

Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
	AG	2002/0151582	10/17/02	Dou et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	T
	AH	JP 2001-253879	9/18/01	Japan			
	AI	WO 99/22728	5/14/99	PCT			*

## OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AJ	Derwent database WPI, AN 2002-307970, XP-002284951, English abstract for JP 2001-253879.	*
	AK	HASHIMOTO et al. (1996), "Evaluation of Tea Polyphenols as Anti-HIV Agents," <i>Bioorganic &amp; Medicinal Chemistry Letters</i> 6(6):695-700.	
	AL	UESATO et al. (2000), "Antitumor Promoting Activities of 3-O-Acyl(-)-Epigallocatechins," <i>Bioorganic &amp; Medicinal Chemistry Letters</i> 10:1673-1675.	

\*Abstract only

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.